



SERGENT
CONSTRUCTION



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Oxnard, CA 93033
OFFICE: 805-486-4562
FAX: 805-486-5775

SUBCONTRACTOR PRE-QUALIFICATION FORM

I. COMPANY CONTACT INFORMATION

Company Name/Legal Entity: _____

Main Office Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Fax: _____

Website Address: _____

Estimating Contact Name: _____

Estimating Contact Email: _____

Estimating Contact Phone: Estimating Contact Mobile: _____

ATTACHMENT REQUIRED: Please attach a list of additional office locations and contact information.

II. ORGANIZATION

Date Originated: _____ State: _____ Number of Employees: _____

Federal ID #: _____ Dun & Bradstreet #: _____

Company is a: Corporation LLC Partnership Sole Proprietorship

Union Status: Non-Union Union Name of Union: _____

Company Principal(s): _____

Name Title

Name Title

Name Title

General types of work qualified to perform (i.e. Steel, Masonry, Plumbing, etc.): _____

Performance Market: Private Federal

ATTACHMENT REQUIRED: Please review and sign the attached Federal Project Bid Requirements if you bid Federal work. Please also complete and return the attached Trade Identification Checklist for all types of work your company performs.



III. LICENSING

Contractor's License #: _____ State: _____ Classification: _____

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Specific Geographical Areas of Work (list all states): _____

ATTACHMENT REQUIRED: Please attached a list of all License Numbers and Classifications for all states.

IV. CERTIFICATIONS

Check Applicable Certified Business Classification(s):

- MBE WBE DBE VBE SBE Native American
- HUBZone Other (Please Specify): _____

Are you registered on the Federal Contractor Central Registration (CCR)? Yes No

ATTACHMENT REQUIRED: Please attach a copy of your Certifications.

V. BANKING & FINANCIAL

Bank Name: _____ Member Since (Year): _____

City: _____ State: _____ Zip: _____

Contact Person: _____ Phone Number: _____

Has your company, or any of its Owners, declared Bankruptcy in the last 5 years? Yes No

Historical financial information for past (3) years:

Year: _____ Revenue: \$ _____ Ending Backlog: \$ _____

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VI. BONDING

Is your company bondable?: Yes No

Can your company provide a project specific Payment & Performance Bond? Yes No

Bonding Company: _____ Since (Year): _____

Bonding Company Rating: _____

Surety Broker/Agent: _____ Since (Year): _____

Contact Person: _____ Phone Number: _____

Bonding Capacity: _____ Single Project Limit: \$ _____ Aggregate: \$ _____

Last Bond Issued: Date: _____ Amount: \$ _____ Rate: % _____

VII. SAFETY

List your firm's Workers Compensation Experience Modification Rate for the last 3 years:

Year: _____ Rate: _____ Year: _____ Rate: _____ Year: _____ Rate: _____

Does your company have the following?

- Written Safety Plan? Yes No
- Safety Orientation? Yes No
- Project Safety Inspections? Yes No

Has your company been cited for a dust control or track-out violation in the last 3 years? Yes No

If yes, please explain: _____

ATTACHMENT REQUIRED: Please attach a copy of your OSHA 300 Log for the last 3 years.



VIII. INSURANCE

MINIMUM LIMITS OF LIABILITY: Subcontractor will obtain insurance with limits as specified below, or such higher limits if imposed by Owner or by the Prime Contract Documents.

TYPE OF INSURANCE LIMITS

LIMITS

<p>Commercial General Liability Premises/Operations Products/Completed Operations Contractual Independent Contractors Broad Form Property Damage Explosion/Collapse/Underground (XCU)</p> <p>Automobile Liability Any Auto or All Owned Autos Hired Autos Non-Owned Autos</p> <p>Umbrella Liability Per Project Aggregate</p> <p>Workers' Compensation (Coverage A) Employer's Liability (Coverage B)</p>	<p>General Aggregate (Per Project) \$2,000,000 Products Comp/OPS Aggregate \$2,000,000 Personal & Advertising Injury \$1,000,000 Each Occurrence/Combined \$1,000,000 Single Limit (BI/PD)</p> <p>Bodily Injury (Per Person) \$1,000,000 Bodily Injury (Per Accident) \$1,000,000 Property Damage \$1,000,000 <i>or</i> Combined Single Limit \$1,000,000</p> <p>All Subcontractors \$4,000,000</p> <p>Coverage A - Statutory Coverage B - \$1,000,000 <i>(Each Accident)</i> \$1,000,000 <i>(Disease – Policy Limit)</i> \$1,000,000 <i>(Disease – Each Employee)</i></p>
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Certificate shall list Sergent Construction as the Certificate Holder as well as list the Certificate Holder and Owner as Additional Insured, shall note that the GL insurance is Primary & Non-Contributory and shall also include a Waiver of Subrogation against Owner and Contractor. All endorsements must be included.

If subcontractor is to remove and haul hazardous waste from the project site, or if the project involves such similar environmental exposure, pollution liability coverage equivalent to that provided under the ISO Pollution Liability – Broadened Coverage for Covered Autos Endorsement CA 9948 shall be provided, and the Motor Carrier Act Endorsement (MCS 90) shall be attached.

Can your company meet these requirements? Yes No

If no, please explain: _____

Please provide the insurance coverage limits that your company carries:

General Liability: \$ _____ Automobile: \$ _____

Umbrella: \$ _____ Workers Comp: \$ _____

ATTACHMENT REQUIRED: Please attach a copy of your Certificate of Insurance.



IX. PERFORMANCE

Has your company failed to complete a project in the last 5 years? Yes No
If yes, please explain: _____

Has your company failed to complete a project on time in the last 5 years? Yes No
If yes, please explain: _____

Has your company had a contract terminated due to performance in the last 5 years? Yes No
If yes, please explain: _____

Largest Project Completed: \$ _____ Smallest Project Completed: \$ _____

ATTACHMENT REQUIRED: Please attach a list of projects with Sergent Construction including Project Name, Original Contract Amount and Final Contract Amount.

X. REFERENCES

Please provide references for work completed within the last 5 years. **Note: General Contractor contact must have direct knowledge of your performance on the listed projects. Failure to provide any requested contact information, including e-mail addresses will delay processing.**

GENERAL CONTRACTORS:

Company: _____ Contact: _____

Phone: _____ E-Mail: _____

Project Name: _____



Company: _____ Contact: _____

Phone: _____ E-Mail: _____

Project Name: _____

SUPPLIERS:

Company: _____ Contact: _____

Phone: _____ E-Mail: _____

Company: _____ Contact: _____

Phone: _____ E-Mail: _____

XI. ATTACHMENT CHECKLIST

The following attachments are referenced above and are required to complete this prequalification. Have you included:

- List of additional office locations and contact information (if any)
- Signed Federal Project Bid Requirements Acknowledgement (if applicable – see attached)
- List of all License Numbers and Classifications for all states
- Copy of your Certifications
- Copy of your Certificate of Insurance
- Copy of your OSHA 300 Log for the last 3 years
- List of projects with Sergent Construction?
- Completed Trade Identification Checklist (see attached)

Signature Required:

Signature

Title

Date

01 - GENERAL REQUIREMENTS

- 01-3233 Photographic Documentation
- 01-4523 Testing & Inspecting Services
- 01-5213 Field Offices & Sheds
- 01-5219 Sanitary Facilities
- 01-5526 Traffic Control
- 01-5623 Temporary Barricades
- 01-5626 Temporary Fencing
- 01-5723 Temporary SWPP
- 01-7123 Construction Surveying
- 01-7419 Waste Management & Disposal
- 01-7423 Final Cleaning
- 01-9100 Commissioning

02 - EXISTING CONDITION

- 02-2100 Surveys
- 02-3216 Material Testing
- 02-4113 Selective Site Demolition
- 02-4116 Structure Demolition
- 02-4119 Selective Interior Demolition
- 02-8100 Transport & Disposal of Haz-Mat
- 02-8200 Asbestos Remediation
- 02-8500 Mold Remediation

03 - CONCRETE

- 03-1100 Concrete Formwork
- 03-2000 Concrete Reinforcing
- 03-3000 Cast-In-Place Concrete
- 03-3100 Structural Concrete
- 03-3300 Architectural Concrete
- 03-3519 Colored Concrete Finishing
- 03-3543 Polished Concrete Finishing
- 03-4000 Precast Concrete
- 03-4713 Tilt-Up Concrete
- 03-8000 Concrete Cutting

04 - MASONRY

- 04-2000 Unit Masonry
- 04-4300 Stone Masonry
- 04-7000 Manufactured Masonry
- 04-7200 Cast Stone Masonry

05 - METALS

- 05-1200 Structural Steel Supply
- 05-1200 Structural Steel Erection
- 05-4000 Cold-Formed Metal Framing
- 05-5000 Metal Fabrications
- 05-7000 Decorative Metal

06 - WOODS, PLASTICS & COMPOSITES

- 06-0600 Lumber Suppliers
- 06-1000 Rough Carpentry
- 06-2000 Finish Carpentry/Millwork
- 06-2020 Cabinet Installation
- 06-4000 Architectural Woodwork
- 06-8316 FRP

07 - THERMAL & MOISTURE PROTECTION

- 07-1000 Waterproofing
- 07-2100 Thermal Insulation
- 07-2200 Roof & Deck Insulation
- 07-2400 EIFS
- 07-2600 Vapor Retarders
- 07-2700 Air Barriers
- 07-4213 Metal Wall Panels
- 07-5000 Membrane Roofing
- 07-5100 Built-Up Bituminous Roofing
- 07-6100 Sheet Metal Roofing
- 07-8100 Applied Fireproofing
- 07-8100 Firestopping
- 07-9200 Joint Sealants

08 - OPENINGS

- 08-1113 Metal Doors & Frames
- 08-1400 Wood Doors
- 08-3100 Access Doors
- 08-3323 Overhead Doors
- 08-4100 Entrances & Storefronts
- 08-4229 Automatic Entrances
- 08-4400 Glazed Curtain Walls
- 08-5113 Aluminum Windows
- 08-6200 Unit Skylights
- 08-7100 Door Hardware
- 08-7101 Doors, Frames & Hardware
- 08-7400 Install Access Control Hardware
- 08-8000 Glazing

09 - FINISHES

- 09-2300 Plastering
- 09-2423 Stucco
- 09-2900 Drywall
- 09-3000 Tile
- 09-5100 Acoustical Ceilings
- 09-6400 Wood Flooring
- 09-6500 Resilient Flooring
- 09-6700 Fluid-Applied Flooring
- 09-6800 Carpet
- 09-900 Painting

10 - SPECIALTIES

- 10-1100 Visual Display Boards
- 10-1400 Signage
- 10-1453 Traffic Signage
- 10-2113 Toilet Compartments
- 10-2123 Cubicle Curtains & Tracks
- 10-2200 Partitions
- 10-2600 Wall & Door Protection
- 10-2800 Toilet & Bath Accessories
- 10-4413 Fire Extinguisher Cabinets
- 10-4416 Fire Extinguishers
- 10-5100 Lockers
- 10-7300 Awnings & Canopies
- 10-8110 Pest & Bird Control

11 - EQUIPMENT

- 11-1300 Loading Dock Equip.
- 11-4000 Foodservice Equip.
- 11-5200 Audio-Visual Equip.
- 11-5300 Laboratory Equip.
- 11-6500 Athletic & Recreation Equip.
- 11-7000 Healthcare Equip.

12 - FURNISHINGS

- 12-2000 Window Treatments
- 12-3000 Manufactured Casework
- 12-3553 Laboratory Casework
- 12-3570 Healthcare Casework
- 12-3570 Countertops
- 12-5100 Office Furniture
- 12-9300 Site Furnishings

13 - SPECIAL CONSTRUCTION

- 13-1100 Swimming Pools
- 13-3420 Pre-Engineered Metal Bldg.
- 13-4800 Vibration & Seismic Control

14 - CONVEYING SYSTEMS

- 14-2000 Elevators
- 14-4200 Wheelchair Lifts
- 14-8000 Scaffolding

21 - FIRE SUPPRESSION

- 21-0001 Fire Sprinkler Subcontractor

22 - PLUMBING

- 22-0001 Plumbing Subcontractor

23 - HVAC

- 23-0001 HVAC Subcontractor
- 23-0593 Test & Balancing
- 23-0900 Controls & Instr.

26 - ELECTRICAL

- 26-0001 Electrical Sub
- 26-2000 Low-Voltage Sub
- 26-4100 Lightning Protection
- 26-5100 Interior Lighting
- 26-5100 Exterior Lighting
- 26-5629 Site Lighting

27 - COMMUNICATIONS

- 27-2000 Data Cabling
- 27-4100 Audio-Video Systems
- 27-5113 Paging Systems
- 27-5223 Nurse Call/Code Blue

28 - ELECTRONIC SAFETY & SECURITY

- 28-1300 Access Control
- 28-2300 Video Surveillance
- 28-3100 Fire Detection & Alarm
- 28-3900 Mass Notification

31 - EARTHWORK

- 31-0001 Earthwork Sub
- 31-3100 Soil Treatment
- 31-4100 Shoring

32 - EXTERIOR IMPROVEMENTS

- 32-1216 Asphalt Paving
- 32-1313 Concrete Paving
- 32-1400 Unit Paving
- 32-1613 Curbs & Gutters
- 32-1723 Pavement Markings
- 32-1803 Synthetic Grass
- 32-1823 Athletic Surfacing
- 32-3100 Fences & Gates
- 32-8000 Irrigation
- 32-9000 Planting

33 - UTILITIES

- 33-0001 Utilities Sub

OTHER

- _____
- _____
- _____



FEDERAL PROJECT BID REQUIREMENTS

Origination Date 09/20/2019

Effective immediately all Federal Project bids or proposals submitted to Sergent Construction shall comply with the following requirements:

1. Your bid will be deemed to have incorporated all federal laws, rules, regulations and executive orders in effect as of the date of the bid, including, but not limited to, the following: (A) the Davis Bacon Act and certified payroll requirements, (B) Buy America Act of 1933 and any amendments thereto, (C) Clean Water Act, (D) EPA rules and regulations including its National Pollutant Discharge Elimination System requirements, (E) Resource Conservation and Recovery Act, (F) Clean Air Act, (G) CERCLA, (H) Toxic Substances Control Act, (I) The Asbestos Hazard Emergency Response Act, (J) National Environmental Policy Act of 1969, and (K) National Historic Preservation Act.
2. By submitting a bid or proposal you acknowledge: (A) that you have reviewed the Bid Documents and any amendments thereto, including, without limitation, any amendments, addenda, or other written materials prepared and issued by Sergent Construction, owner, architect, or engineer, (B) that you have familiarized yourself with the requirements of the Bid Documents, as modified by any amendments, addenda, or responses to request for information regardless of whether or not such request for information was submitted by Sergent Construction or another contractor, (C) that you have become generally familiar with the conditions currently existing at the project site and that you have satisfied yourself with any questions or concerns that you might have with respect to the same, and (D) that you have satisfied yourself with respect to any other matters you deem important or material to your preparation and submission of a bid or proposal in connection with the project.
3. All Superintendents and Foreman must have a minimum OSHA 30-Hour Certification. All field staff must have a minimum OSHA 10-Hour Certification. Subcontractor will be required to conduct all operations in accordance with safety standards adopted by Sergent Construction and the Owner.
4. Subcontractor will be required to comply with all QA/QC requirements set forth in the Bid Documents or otherwise required on a project of this nature. This may include, without limitation, special testing and inspection requirements.
5. By submitting the bid or proposal, you acknowledge that Sergent Construction may rely on and use your bid or proposal in the submission of their bid to the project owner. If you later refuse to perform the work in accordance with your bid or proposal, Sergent Construction will be harmed.
6. At the time of the submission of your bid you must state your ability to furnish a Payment and Performance Bond for the work as well as alternate pricing for the same.
7. Minimum Insurance requirements include: (1) Commercial General Liability - \$2,000,000/occurrence and \$3,000,000/aggregate to include, without limitation, products/completed operations and blanket contract liability coverage, (2) Worker's Compensation in an amount required under the laws of the state where the project site is located, (3) Employer's Liability in amount equal to \$1,000,000/accident, \$1,000,000/employee, and \$1,000,000 policy limit, and (4) Automobile Liability in amount equal to \$1,000,000 per accident. You should expect to name Sergent Construction and the project owner as an additional insured and waive subrogation rights with respect to Sergent Construction and the project owner.
8. Errors and mistakes must be reported to Sergent Construction prior to the submission of Sergent Construction's bid to the owner. It is your responsibility to identify and report any errors or mistakes in your bid. You should not rely on Sergent Construction to notify you of any error or mistake in your bid.

Signature

Company Name

Printed Name & Title

Date

